



07-11-05

2611
ZHW

PATENT
ATTY. DKT. NO.: 20973-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tazwell L. Anderson

Serial No.: 09/386,613


Filed: August 31, 1999

For: AUDIO/VIDEO SYSTEM AND METHOD
UTILIZING A HEAD MOUNTED
APPARATUS WITH NOISE ATTENUATION

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: Art Unit: 2611
:
: Examiner: Vu, Ngoc K.
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CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as **FIRST CLASS MAIL** in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 8, 2005.


Dean D. Small, Reg. No.: 34,730

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on form PTO/SB/08A (Substitute for form 1449/PTO) together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

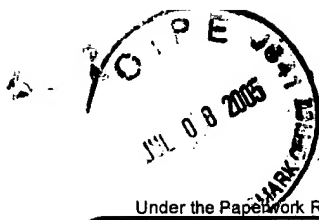
- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 6. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	09/386,613
Filing Date	August 31, 1999
First Named Inventor	Tazwell L. Anderson
Art Unit	2611
Examiner Name	Vu, Ngoc K.
Attorney Docket Number	20973-12

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US- 6,060,995	05-09-2000	Wicks et al	
	B	US- 5,510,828	04-23-1996	Lutterbach	
	C	US- 5,133,081	07-21-1992	Mayo	
	D	US- 6,526,580 B2	02-25-2003	Shimomura et al	
	E	US- 5,742,521	04-21-1998	Ellenby et al	
	F	US- 6,356,905	03-12-2002	Gershman et al	
	G	US- 2003/0023974 A1	01-30-2003	Dagtas et al	
	H	US- 2002/0130967 A1	09-19-2002	Sweetser	
	I	US- 6,401,085	06-04-2002	Gershman et al	
	J	US- 6,380,978	04-30-2002	Adams et al	
	K	US- 6,567,079	05-20-2003	Smailagic et al	
	L	US- 6,532,152	03-11-2003	White et al	
	M	US- 6,195,090	02-27-2001	Riggins, III	
	N	US- 2003/0005052 A1	01-02-2003	Feuer et al	
	O	US- 2003/0005437 A1	01-02-2003	Feuer et al	
	P	US- 2003/0004793 A1	01-02-2003	Feuer et al	
	Q	US- 2002/0057365 A1	05-16-2002	Brown	
	R	US- 6,035,349	03-07-2000	Ha et al	
	S	US- 6,549,229	04-15-2003	Kirby et al	

FOREIGN PATENT DOCUMENTS

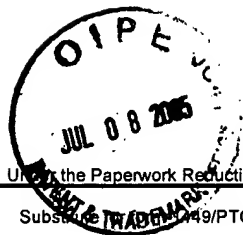
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First Named Inventor	Tazwell L. Anderson
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Sheet 2 of 3

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		Number-Kind Code ² (if known)			
	T	US- 2002/0115454	08-22-2002	Hardacker	
	U	US- 5,946,635	08-31-1999	Mitchell et al	
	V	US- 6,166,734	12-26-2000	Nahi et al	
	W	US- 6,084,584	07-04-2000	Nahi et al	
	X	US- 6,215,475	04-10-2001	Meyerson et al	
	Y	US- 6,347,301	02-12-2002	Bearden, III et al	
	Z	US- 2002/0090217 A1	07-11-2002	Limor et al	
	AA	US- 2003/0014275 A1	01-16-2003	Bearden, III et al	
	BB	US- 5,894,320	04-13-1999	Vancelette	
	CC	US- 5,903,395 A	05-1999	Rallison et al	
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	HH	US- 5,729,471 A	03-1998	Jain et al	
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FOREIGN PATENT DOCUMENTS

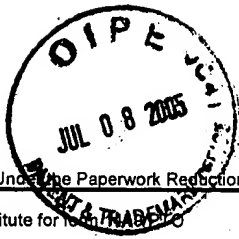
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PTO/SB/08B (08-03)

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Sheet 3	of 3	Attorney Docket Number	20973-12

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BA	PCT International Search Report dated 02/05/2004; In re International Application No. PCT/US03/31696	
	BB	Written Opinion cited document in International Application No. PCT/US03/31696	

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